

OTAY WATER DISTRICT
ENGINEERING, OPERATIONS & WATER RESOURCES COMMITTEE MEETING
and
SPECIAL MEETING OF THE BOARD OF DIRECTORS

2554 SWEETWATER SPRINGS BOULEVARD
SPRING VALLEY, CALIFORNIA
Board Room

THURSDAY
May 21, 2015
11:30 A.M.

This is a District Committee meeting. This meeting is being posted as a special meeting in order to comply with the Brown Act (Government Code Section §54954.2) in the event that a quorum of the Board is present. Items will be deliberated, however, no formal board actions will be taken at this meeting. The committee makes recommendations to the full board for its consideration and formal action.

AGENDA

1. ROLL CALL
2. PUBLIC PARTICIPATION – OPPORTUNITY FOR MEMBERS OF THE PUBLIC TO SPEAK TO THE BOARD ON ANY SUBJECT MATTER WITHIN THE BOARD'S JURISDICTION BUT NOT AN ITEM ON TODAY'S AGENDA

DISCUSSION ITEMS

3. APPROVE AN AGREEMENT WITH KIRK PAVING IN AN AMOUNT NOT-TO-EXCEED \$200,000 FOR AS-NEEDED ASPHALT PAVING SERVICES FROM JULY 1, 2015 THROUGH JUNE 30, 2016 (MARTINEZ) [5 min]
4. RECEIVE THE DISTRICT'S REPORT ON THE OTAY WATER DISTRICT CONSTRUCTION CONTRACT PROCUREMENT POLICY AND PRACTICES (MARTIN) [5 min]
5. THIRD QUARTER OF FISCAL YEAR 2015 CAPITAL IMPROVEMENT PROGRAM REPORT (MARTIN) [5 minutes]
6. SAN DIEGO COUNTY WATER AUTHORITY UPDATE (WATTON) [10 minutes]
7. ADJOURNMENT

BOARD MEMBERS ATTENDING:
Gary Croucher, Chair
Tim Smith

All items appearing on this agenda, whether or not expressly listed for action, may be deliberated and may be subject to action by the Board.

The Agenda, and any attachments containing written information, are available at the District's website at www.otaywater.gov. Written changes to any items to be considered at the open meeting, or to any attachments, will be posted on the District's website. Copies of the Agenda and all attachments are also available through the District Secretary by contacting her at (619) 670-2280.

If you have any disability that would require accommodation in order to enable you to participate in this meeting, please call the District Secretary at 670-2280 at least 24 hours prior to the meeting.

Certification of Posting

I certify that on May 15, 2015 I posted a copy of the foregoing agenda near the regular meeting place of the Board of Directors of Otay Water District, said time being at least 24 hours in advance of the meeting of the Board of Directors (Government Code Section §54954.2).

Executed at Spring Valley, California on May 15, 2015.

/s/ Susan Cruz, District Secretary

AGENDA ITEM 3



STAFF REPORT

TYPE MEETING:	Regular Board	MEETING DATE:	June 3, 2015
SUBMITTED BY:	Jose Martinez, Assistant Chief of Water Operations	PROJECT:	DIV. NO. All
APPROVED BY:	<input checked="" type="checkbox"/> Pedro Porras, Chief of Water Operations <input checked="" type="checkbox"/> German Alvarez, Assistant General Manager <input checked="" type="checkbox"/> Mark Watton, General Manager		
SUBJECT:	AUTHORIZE AGREEMENT WITH KIRK PAVING FOR ANNUAL AS-NEEDED PAVING SERVICES		

GENERAL MANAGER'S RECOMMENDATION:

That the Board authorize entering into an agreement with Kirk Paving in an amount not to exceed \$200,000 for as-needed asphalt paving services from July 1, 2015 through June 30, 2016.

COMMITTEE ACTION:

Please see attachment A.

PURPOSE:

To present bid results and request that the Board authorize entering into an agreement with Kirk Paving, Lakeside, CA for as-needed asphalt paving services from July 1, 2015 through June 30, 2016 in an amount not to exceed \$200,000.

ANALYSIS:

As a regular course of business, the District is required to maintain and repair its water delivery infrastructure. Routinely this work requires the removal and re-installation of asphalt paving in public roadways. It has been shown more effective and efficient for the District to use outside contractors for its

asphalt paving work. Therefore, the District has used outside asphalt paving contract services for more than ten (10) years.

As-needed paving services have been included in the FY 2016 Operating Budget under Contracted Services. The FY 2016 budget for annual as-needed paving under Contracted Services is \$200,000.

In accordance with the District's purchasing requirements, a notice was published and bids were solicited for this work on a "unit price" basis. On April 22, 2015 nine (9) contractors attended a mandatory pre-bid meeting and on April 29, 2015 bids were received and publicly opened with the following results from five (5) bidders:

<i>Bidder</i>	<i>Weighted Score</i>
Kirk Paving, Lakeside	501.86
Frank & Son Paving, Bonita	527.34
ABC Construction, San Diego	531.78
Carolino And Son Engineering, National City	541.96
Miller Paving, Spring Valley	Non-Responsive

The responsive bidder with the lowest weighted score is determined to be the lowest responsible bidder, in this case it is Kirk Paving, of Lakeside, CA. Attachment B was produced by the purchasing manager and is attached. Miller Paving was determined to be non-responsive as a result of failing to provide four (4) of the documents required as part of the bid. It should be noted all of the other bidders included the required documents as part of their bid package.

Kirk Paving served as the FY 2015 as-needed paving service contractor for the District and has performed all required work with no issues noted. Staff is confident that they will be able to perform the required work.

Bids have been submitted on a unit-cost basis for the different types of work typically required during paving. The types of work are assigned a weight factor based on the District's experience of the frequency they will be employed during the term of the agreement and these weights are multiplied by the unit cost to determine a unit score. Unit scores are totaled to provide the overall score of the bid and the contractor with the lowest overall score is the low bidder. Please refer to Attachment B.

FISCAL IMPACT: Joe Beachem, Chief Financial Officer

The FY 2016 budget request includes \$200,000 for as-needed paving services. The project manager has projected that this requested amount is sufficient to cover the anticipated expenses for the paving needs in the 2016 fiscal year.

STRATEGIC GOAL:

Strategy: Stewards of Public Infrastructure.
Goal: Conduct the best practice preventative maintenance activities.

LEGAL IMPACT:

None.

Attachment A: Committee Action Form
Attachment B: FY 2016 As-Needed Paving Services Score Sheet



ATTACHMENT A

SUBJECT/PROJECT:	AUTHORIZE AGREEMENT WITH KIRK PAVING FOR ANNUAL AS-NEEDED PAVING SERVICES
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COMMITTEE ACTION:

The Engineering, Operations, and Water Resources Committee reviewed this item at a meeting held on May 21, 2015, and the following comments were made:

NOTE:

The "Committee Action" is written in anticipation of the Committee moving the item forward for Board approval. This report will be sent to the Board as a committee approved item, or modified to reflect any discussion or changes as directed from the committee prior to presentation to the full Board.

ATTACHMENT B

FY 2016 As-Needed Paving Services Bid Score Sheet

Months 1-6

Item	Wght	Type of Service	Kirk Paving		ABC Construction		Miller Paving		Carolino And Son Engineering		Frank & Son Paving	
			Unit Bid	Total	Unit Bid	Total	Unit Bid	Total	Unit Bid	Total	Unit Bid	Total
			Price	Score	Price	Score	Price	Score	Price	Score	Price	Score
1	10	Asphalt 0"-6"	\$ 12.00	120	\$ 15.70	157.00			17.03	170.30	\$ 16.00	160.00
2	5	Asphalt 7"-12"	\$ 12.25	61.25	\$ 15.90	79.50			14.07	70.35	\$ 14.00	70.00
3	5	Cap/Sheet 0"-1"	\$ 0.20	1	\$ 0.21	1.05			0.5	2.50	\$ 0.50	2.50
4	1	Satin Seal	\$ 0.02	0.02	\$ 0.18	0.18			0.05	0.05	\$ 0.04	0.04
5	1	Traffic Stripping	\$ 0.25	0.25	\$ 0.26	0.26			0.6	0.60	\$ 0.90	0.90
6	3	Grinding	\$ 0.75	2.25	\$ 0.50	1.50			1	3.00	\$ 0.50	1.50
7	1	Traffic Loops	\$ 1.00	1	\$ 1.00	1.00			1	1.00	\$ 10.00	10.00
8	3	Sand/Seal	\$ 6.50	19.5	\$ 3.00	9.00			0.2	0.60	\$ 0.40	1.20
9	1	Base Rem/Rep	\$ 0.75	0.75	\$ 2.00	2.00			7	7.00	\$ 1.90	1.90
10	10	Saw Cut 0"-6"	\$ 0.75	7.5	\$ 0.35	3.50			0.7	7.00	\$ 0.40	4.00
11	5	Saw Cut 6"-12"	\$ 0.15	0.75	\$ 0.35	1.75			0.75	3.75	\$ 0.25	1.25
12	1	Saw Cut 12"+	\$ 0.15	0.15	\$ 0.35	0.35			0.75	0.75	\$ 0.50	0.50
13	1	Chip Seal*	\$ 1.50	1.5	\$ 1.00	1.00			0.75	0.75	\$ 1.50	1.50
14	3	1.5" Grind & Pav	\$ 11.67	35.01	\$ 2.60	7.80			1.11	3.33	\$ 2.45	7.35
Score Month 1-6			250.93		265.89				270.98		262.64	

Months 7-12

Item	Score	Type of Service	Kirk Paving		ABC Construction		Miller Paving		Carolino And Son Engineering		Frank & Son Paving	
			Unit Bid	Total	Unit Bid	Total	Unit Bid	Total	Unit Bid	Total	Unit Bid	Total
			Price	Score	Price	Score	Price	Score	Price	Score	Price	Score
1	10	Asphalt 0"-6"	\$ 12.00	120	\$ 15.70	157.00			17.03	170.30	\$ 15.00	150.00
2	5	Asphalt 7"-12"	\$ 12.25	61.25	\$ 15.90	79.50			14.07	70.35	\$ 16.00	80.00
3	5	Cap/Sheet 0"-1"	\$ 0.20	1	\$ 0.21	1.05			0.5	2.50	\$ 0.45	2.25
4	1	Satin Seal	\$ 0.02	0.02	\$ 0.18	0.18			0.05	0.05	\$ 0.05	0.05
5	1	Traffic Stripping	\$ 0.25	0.25	\$ 0.26	0.26			0.6	0.60	\$ 0.95	0.95
6	3	Grinding	\$ 0.75	2.25	\$ 0.50	1.50			1	3.00	\$ 0.50	1.50
7	1	Traffic Loops	\$ 1.00	1	\$ 1.00	1.00			1	1.00	\$ 10.00	10.00
8	3	Sand/Seal	\$ 6.50	19.5	\$ 3.00	9.00			0.2	0.60	\$ 0.40	1.20
9	1	Base Rem/Rep	\$ 0.75	0.75	\$ 2.00	2.00			7	7.00	\$ 1.90	1.90
10	10	Saw Cut 0"-6"	\$ 0.75	7.5	\$ 0.35	3.50			0.7	7.00	\$ 0.50	5.00
11	5	Saw Cut 6"-12"	\$ 0.15	0.75	\$ 0.35	1.75			0.75	3.75	\$ 0.50	2.50
12	1	Saw Cut 12"+	\$ 0.15	0.15	\$ 0.35	0.35			0.75	0.75	\$ 0.50	0.50
13	1	Chip Seal*	\$ 1.50	1.5	\$ 1.00	1.00			0.75	0.75	\$ 1.50	1.50
14	3	1.5" Grind & Pav	\$ 11.67	35.01	\$ 2.60	7.80			1.11	3.33	\$ 2.45	7.35
Score Month 7-12			250.93		265.89				270.98		264.7	
Total Score			501.86		531.78		0		541.96		527.34	

AGENDA ITEM 4



STAFF REPORT

TYPE MEETING:	Engineering, Operations, and Water Resources Committee	MEETING DATE:	May 21, 2015
SUBMITTED BY:	Dan Martin Engineering Manager	PROJECT:	Various DIV. NO. All
APPROVED BY:	<input checked="" type="checkbox"/> Rod Posada, Chief, Engineering <input checked="" type="checkbox"/> German Alvarez, Assistant General Manager <input checked="" type="checkbox"/> Mark Watton, General Manager		
SUBJECT:	Informational Item - Otay Water District Construction Contract Procurement		

GENERAL MANAGER'S RECOMMENDATION:

No recommendation. This is an informational item only.

COMMITTEE ACTION:

Please see Attachment A.

PURPOSE:

To provide information to the Otay Water District (District) Engineering, Operations, and Water Resources Committee on the District's construction contract procurement policy and practices.

ANALYSIS:

This staff report was prepared to update the Committee regarding the District's construction contract procurement practices. The District's procurement of a Public Works contract for construction are guided by the applicable provisions of the California Public Contract Code; Section 4, "Purchases and Payments" of the District's Code of Ordinances; and the District's Purchasing Manual.

The California Public Contract Code, Section 20642 states, that "When work is not to be done by the District itself by force account, and the amount involved is thirty-five thousand dollars (\$35,000), or more, any contract for the doing of the work shall be let to the lowest

responsible bidder, after publication, in the manner prescribed by the board, of notices inviting bids therefor. However, the board may reject any and all proposals."

To this end, Section 7.2 "Requirements" of the District's Purchasing Manual lays out the procedures for formal advertising on construction contracts that are greater than \$35,000. These procedures require that solicitations shall be advertised in at least one newspaper of general circulation for a minimum of ten (10) calendar days prior to the date of the bid opening. In addition, it is required that solicitations contain a brief description of the goods or services required, state where prospective bidders may obtain plans and specifications and make any required deposits, state the time and place of the bid opening, and state that the District reserves the right to reject one or all bids.

When the design plans and specifications are ready for construction, they are finalized into a set of contract documents which include instructions to bidders, dates for the pre-bid meeting, and the date and time of the bid opening. The District's current practice for advertising an Invitation to Bid for engineering bids is to advertise all construction projects on the District Website for twenty one (21) days and in a variety of trade publications, plan houses and newspapers, including: The San Diego Daily Transcript, F. W. Dodge, Reed Construction Data, Construction Update, Bid America, San Diego Contracting Opportunities Center Planroom, Associated General Contractors of America, The Blue Book Building and Construction Network, Construction Bid Board, Black Contractors Association, iSqFt Plan room, and Bid Clerk.

In instances where a project scope for construction is large or complex in nature, the bid time may be extended to provide an opportunity for contractors to adequately prepare a bid proposal for the work. In an effort to encourage contractors to submit a bid proposal for a project, staff will notify individual contractors of the advertised bid opportunity. This practice has increased the number of bids that have been received for recent projects. Currently, the District makes the complete contract documents associated with the Invitation to Bid available to download for free. Hardcopies can be purchased for a fee from a listed reprographics firm.

Bid proposals are accepted by the District up to the advertised date and time of bid opening which is included in the Invitation to Bid. Once all bid proposals are received, they are publically opened on the date and time specified in the Invitation to Bid. The District's public bid openings for engineering bids are done at 4:00 pm. Bid proposals that are received after the time specified are rejected and returned to the Contractor unopened. The bid opening is open to the public and is attended by District staff, prospective contractors, and suppliers. All bids are opened with District staff serving as witnesses to the process. All bid proposals are opened and read aloud. Staff confirms that the

bid proposal contains the required bid bond and staff verifies that all addenda are acknowledged. Once all bids have been opened, the District's Project Manager announces the apparent low bidder from the resulting bid proposals. Staff then begins the process of reviewing all bid proposals and tabulating the bid items for each contractor in a spreadsheet to determine if any errors were made. In the case of an error in addition, the grand total of the unit prices and/or lump sum totals for each line item governs. The following steps are taken by staff to verify the accuracy of information, as well as, to verify the contractor is both a responsive and responsible bidder:

1. Staff confirms that the apparent low bidder is the lowest responsive and responsible bidder by carefully reviewing their bid submittal. The bid submittal includes, but is not limited to: the Bid Proposal (which includes the contract bid pricing), Bid Bond (Form B) or other Security, Non-collusion Affidavit (Form C), Contractor License Affidavit (Form D), List of Subcontractors (Form E), List of References (Form F), Company Background Questionnaire (Form G), Company Safety Questionnaire (Form H), contractor signed contract, Performance Bond, Labor and Materials Bond, Contractor's Certificate Regarding Worker's Compensation, and Certificate of Liability Insurance. Samples of Forms B through H are included in Attachment A for reference.

2. Staff verifies with the California State Contractors License Board on the license status of the contractor by ensuring they have a proper and valid license, up to date Workers Compensation Insurance, and a bond certifying that the Responsible Managing Officer owns 10% or more of the voting stock/equity in the corporation, as required in the Contract Documents.

3. Staff reviews the bidder's completed Company Background Questionnaire and Company Safety Questionnaire to verify no irregularities are present.

4. Staff's review also includes reference checks for the apparent low bidder. The contractor is required to provide a minimum of five (5) references on past projects. The contractor also provides three (3) references for the project manager. Staff contacts these references as well.

5. An internet search is also performed on the contractor's name, the project manager, and/or the principle/owner.

6. A search is performed on the System for Award Management (SAM) system. SAM maintains a database that identifies excluded companies' individuals from working on projects that are federally funded.

7. Lastly, Staff verifies that the contractor and their sub-contractors are registered with the Department of Industrial Relations (DIR), as required by Senate Bill 854.



ATTACHMENT A

SUBJECT/PROJECT: N/A	Informational Item - Otay Water District Construction Contract Procurement
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COMMITTEE ACTION:

This item was presented to the Engineering, Operations, and Water Resources Committee (Committee) at a meeting held on May 21, 2015.



ATTACHMENT B

SUBJECT/PROJECT: N/A	Informational Item - Otay Water District Construction Contract Procurement
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Sample Contract Forms for Engineering Bids:

- Bid Bond (Form B)
- Non-collusion Affidavit (Form C)
- Contractor License Affidavit (Form D)
- List of Subcontractors (Form E)
- List of References (Form F)
- Company Background Questionnaire (Form G)
- Company Safety Questionnaire (Form H)

**SECTION 00410
BID BOND
(FORM B)**

BOND NO. _____

AMOUNT: \$_____

Know all men by these presents, that _____

as Principal, and _____

a corporation duly organized under the laws of the State of _____

having its principal place of business at _____

in the State of _____, and authorized to do business in the State of California, as _____

Surety, are held and firmly bound unto Otay Water District, hereinafter "Obligee", in the penal sum of _____

_____ Dollars (\$_____), for the payment of which we bind

ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally,

firmly by these presents.

**SECTION 00410
BID BOND
(FORM B)**

THE CONDITION OF THIS BOND IS SUCH THAT:

Whereas the Principal is herewith submitting his or its bid proposal for Contractor Services, said bid proposal, by reference thereto, being hereby made a part hereof.

Now, therefore, if the bid proposal submitted by the Principal is accepted, and the contract awarded to the Principal, and if the Principal shall execute the proposed contract and shall furnish such Performance Bond and Labor and Materials Bond as required by the contract documents, insurance certificates and policies, certification of worker's compensation insurance and other required documents within the time fixed by the contract documents, then this obligation shall be void. If the Principal shall fail to execute the proposed contract, furnish the required bonds and other required documents within the time specified in the contract documents, the Surety hereby agrees to pay to the Oblige the penal sum as liquidated damages.

Signed and sealed this _____ day of _____, 2015.

PRINCIPAL

By _____

SURETY

By _____
Attorney-in-fact

**SECTION 00440
LIST OF SUBCONTRACTORS
(FORM E)**

The Bidder shall identify all proposed subcontractors and subconsultants who will be performing work that has a value in excess of one-half (0.5) of one (1) percent of the total amount of this proposal. The Bidder certifies that the following subcontracting firms or businesses will be awarded subcontracts for the indicated portions of the work in the event that the Bidder is awarded the contract.

The Bidder shall identify the type of work the subcontractor shall perform; include the specific bid item(s) that the subcontractor(s) will perform work on; and state the percent (%) of the bid item that the subcontractor will perform.

TYPE OF WORK:

Bid Item	Percent
----------	---------

Name

Street	City
--------	------

License No. /Type _____

TYPE OF WORK:

Bid Item	Percent
----------	---------

Name

Street	City
--------	------

License No. /Type _____

TYPE OF WORK:

Bid Item	Percent
----------	---------

Name

Street	City
--------	------

License No. /Type _____

TYPE OF WORK:

Bid Item

Percent

Name

Street

City

License No. /Type _____

TYPE OF WORK:

Bid Item

Percent

Name

Street

City

License No. /Type _____

TYPE OF WORK:

Bid Item

Percent

Name

Street

City

License No. /Type _____

BIDDER: _____

Signature: _____

Date: _____

**SECTION 00450
LIST OF REFERENCES
(FORM F)**

A minimum of five (5) project references are required for the Contractor and a minimum of three (3) project references are required for the Project Manager to be assigned to the subject work. The referenced projects must have been completed within the past five (5) years and be similar in scope including type, size, duration, value, etc. to the aforementioned project. Bidder's failure to provide current reference contact information could impact District's ability to check references. Lack of valid references could delay award of a contract to Bidder and jeopardize award.

CONTRACTOR

Project Title: _____ Year Completed: _____

Type of Project _____ Contract Amount: \$ _____

Company Name: _____

Address: _____

Contact Person: _____ Tel: _____

Project Title: _____ Year Completed: _____

Type of Project _____ Contract Amount: \$ _____

Company Name: _____

Address: _____

Contact Person: _____ Tel: _____

Project Title: _____ Year Completed: _____

Type of Project _____ Contract Amount: \$ _____

Company Name: _____

Address: _____

Contact Person: _____ Tel: _____

Project Title: _____ Year Completed: _____

Type of Project _____ Contract Amount: \$ _____

Company Name: _____

Address: _____

Contact Person: _____ Tel: _____

Project Title: _____ Year Completed: _____

Type of Project _____ Contract Amount: \$ _____

Company Name: _____

Address: _____

Contact Person: _____ Tel: _____

Project Title: _____ Year Completed: _____

Type of Project _____ Contract Amount: \$ _____

Company Name: _____

Address: _____

Contact Person: _____ Tel: _____

Project Title: _____ Year Completed: _____

Type of Project _____ Contract Amount: \$ _____

Company Name: _____

Address: _____

Contact Person: _____ Tel: _____

PROJECT MANAGER

Project Manager: _____

Project Title: _____ Year Completed: _____

Type of Project _____ Contract Amount: \$_____

Company Name: _____

Address: _____

Contact Person: _____ Tel: _____

Project Title: _____ Year Completed: _____

Type of Project _____ Contract Amount: \$_____

Company Name: _____

Address: _____

Contact Person: _____ Tel: _____

Project Title: _____ Year Completed: _____

Type of Project _____ Contract Amount: \$_____

Company Name: _____

Address: _____

Contact Person: _____ Tel: _____

BIDDER: _____

Signature: _____

Date: _____

**SECTION 00460
COMPANY BACKGROUND QUESTIONNAIRE
(FORM G)**

Company Name: _____

Person Completing Form (Print): _____

Signature: _____ Date: _____

Title: _____ Phone Number: _____

IMPORTANT: Falsifying information or failure to provide known information could jeopardize or delay award of a contract.

1. COMPANY HISTORY

	Yes	No
1. Have there been any previous changes to the company name or changes in ownership that have occurred within the past ten (10) years?	<input type="checkbox"/>	<input type="checkbox"/>
2. Has any owner or officer of the company operated as a contractor under any other name or license number in the last ten (10) years?	<input type="checkbox"/>	<input type="checkbox"/>
3. Have there been any previous changes to the company's license number(s) in the past ten (10) years?	<input type="checkbox"/>	<input type="checkbox"/>
4. Is your company a subsidiary, parent, holding company or affiliate of another construction company?	<input type="checkbox"/>	<input type="checkbox"/>

If 'Yes' to any of the above provide details for each on the lines below including, but not limited to, previous company name and/or number, date of name change, date of change in ownership.

2. COMPANY FINANCIAL RECORD

	Yes	No
1. Was your company in bankruptcy at any time during the last ten (10) years or currently filing for bankruptcy?		

If 'Yes' to the above provide details on the lines below including, but not limited to, case number, bankruptcy court, and the date the petition was filed.

3. CIVIL COURT ACTIONS

	Yes	No
1. Has your company, or any owner or officer of your company, ever been found liable in a civil suit?		
2. Have there been any judgments against your company or any owner or officer of your company within the past ten (10) years?		
3. In the past ten (10) years, has your company or any owner or officer of your company made any claim in excess of \$50,000 against a project owner and filed that claim in court or arbitration?		
4. In the past ten (10) years, have there been any claims in excess of \$50,000 that have been filed in court or arbitration against your company?		

If 'Yes' to any of the above provide details for each on the lines below including, but not limited to, project name, date of the claim, name of the claimant, name of the entity (or entities) against whom the claim was filed, brief description of the claim, the court and case number, if applicable, brief description of the status (i.e. pending, resolved, a description of the resolution, etc.).

4. CRIMINAL ACTIONS

	Yes	No
1. Has your company or any owner or officer of your company ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?		
2. Has your company or any owner or officer of your company ever been found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?		
3. Has any state or local agency taken any disciplinary action against your company or any owner or officer of your company?		

If 'Yes' to any of the above provide details for each on the lines below including, but not limited to, the person or persons convicted, the name of the victim, the date of conviction, the court and case number, the crime and year convicted.

5. CONTRACT AWARD

	Yes	No
1. Has your company ever been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?		
2. In the past ten (10) years, has your company or any owner or officer of your company been listed on the Excluded Parties List System (EPLS), debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?		

If 'Yes' to any of the above provide details for each on the lines below including, but not limited to, year of the event, the owner, the project, entity denying the award, the basis for the finding by the public agency, name of the organization debarred.

**SECTION 00470
COMPANY SAFETY QUESTIONNAIRE
(FORM H)**

Company Name: _____

Person Completing Form (Print): _____

Signature: _____ Date: _____

Title: _____ Phone Number: _____

IMPORTANT: Falsifying information or failure to provide known information could jeopardize or delay award of a contract.

SAFETY PERFORMANCE

1. List your company's Interstate Experience Rating Modifier (ERM) for the three most recent years.

20	_____	_____
20	_____	_____
20	_____	_____

2. List your company's number of injuries/illnesses from your OSHA 300 logs for the three most recent years.

	20_____	20_____	20_____
a. Fatalities	_____	_____	_____
b. OSHA recordable incidents	_____	_____	_____
c. Lost work day incidents	_____	_____	_____
d. Total lost work days	_____	_____	_____
e. Total hours worked	_____	_____	_____

3. Please provide copies of the following upon award:

Check if Available

- | | |
|---|--------------------------|
| a. OSHA 300 logs for the most recent three years and current year-to-date | <input type="checkbox"/> |
| b. Verification of ERM from your insurance carrier | <input type="checkbox"/> |
| c. Injury/Illness Report | <input type="checkbox"/> |
| d. Complete written Safety Program | <input type="checkbox"/> |
| e. Training Plans | <input type="checkbox"/> |
| f. Training Certificates for Employees | <input type="checkbox"/> |
| g. Emergency Response Training | <input type="checkbox"/> |

*Primary contractors must submit all information requested on No. 3 (a-g) to the District.
Subcontractors must submit information (a-c) to the District and d-g to the primary contractor and should be made available to the District upon request.*

4. Company Safety Contact

a. Name: _____

b. Phone: _____

SAFETY PROGRAM

1. SAFETY PROGRAM DOCUMENTATION Circle One
- a. Do you have a written safety program manual? Yes No
Last revision date _____
- b. Do you have a written safety field manual? Yes No
- c. Are all workers given a booklet that contains work rules, responsibilities and other appropriate information? Yes No
2. POLICY AND MANAGEMENT SUPPORT
- a. Do you have a safety policy statement from an officer of the company? Yes No
- b. Do you have a disciplinary process for enforcement of your safety program? Yes No
- c. Does management set corporate safety goals? Yes No
- d. Does executive management review:
- Accident reports?
 - Inspection reports?
 - Safety statistics?
- e. Do you safety pre-qualify subcontractors? Yes No
- f. Do you have a written policy on accident reporting and investigation? Yes No
- g. Do you have a light-duty, return-to-work policy? Yes No
- h. Is safety part of your supervisor's performance evaluation? Yes No
- i. Do you have a personal protective equipment (PPE) policy? Yes No
- j. Do you have a written substance abuse program? Yes No
If yes, check which apply:
- Pre-employment testing
 - Random testing
 - Reasonable cause testing
 - Post accident testing
 - Panel Screen
 - Return to duty testing
 - Disciplinary process
 - Alcohol Testing
 - National Institute on Drug Abuse
- k. Does each level of management have assigned safety duties and responsibilities? Yes No

3. TRAINING AND ORIENTATION

Circle One

- a. Do you conduct safety orientation training for each employee? Yes No
- b. Do you conduct site safety orientation for every person new to the job? Yes No
- c. Does your safety program require safety training meetings for each supervisor (foreman and above)? How often? Yes No
 Weekly Monthly Quarterly Annually
- d. Do you hold tool box/tailgate safety meetings focused on your specific work operations/exposures? Yes No
 Weekly Daily
- e. Do you require equipment operation/certification training? Yes No

4. ADMINISTRATION AND PROCEDURES

- a. Does your written safety program address administrative procedures? Yes No

If yes, check which apply:

- | | |
|---|--|
| <input type="checkbox"/> Pre-project/task planning | <input type="checkbox"/> Emergency procedures |
| <input type="checkbox"/> Record keeping | <input type="checkbox"/> Audits/inspections |
| <input type="checkbox"/> Safety committees | <input type="checkbox"/> Accident investigations/reporting |
| <input type="checkbox"/> HAZCOM | <input type="checkbox"/> Training documentation |
| <input type="checkbox"/> Substance abuse prevention | <input type="checkbox"/> Hazardous work permits |
| <input type="checkbox"/> Return-to work | <input type="checkbox"/> Subcontractor prequalification |

- b. Do you have project safety committees? Yes No
- c. Do you conduct job site safety inspections? How often? Yes No
 Daily Weekly Monthly
- d. Do these inspections include a routine safety inspection of equipment (e.g. scaffold, ladders, fire extinguishers, etc.)? Yes No
- e. Do you investigate accidents? How are they reported? Yes No

<input type="checkbox"/> Total company	<input type="checkbox"/> By superintendent
<input type="checkbox"/> By project	<input type="checkbox"/> By project manager
<input type="checkbox"/> By foreman	<input type="checkbox"/> In accordance with OSHA
- f. Do you discuss safety at all preconstruction and progress meetings? Yes No
- g. Do you perform rigging and lifting checks prior to lifting? Yes No
 For personnel For equipment Heavy lifts (more than 10,000 lbs)

5. WORK RULES

Circle One

a. Do you periodically update work rules? Yes No

When was the last update? _____

b. What work practices are addressed by your work rules?
Check all that apply.

- | | |
|--|--|
| <input type="checkbox"/> CPR/first aid | <input type="checkbox"/> Access-entrances/stairs |
| <input type="checkbox"/> Barricades, signs, and signals | <input type="checkbox"/> Respiratory protection |
| <input type="checkbox"/> Blasting | <input type="checkbox"/> Material handling/storage |
| <input type="checkbox"/> Communications | <input type="checkbox"/> Temporary heat |
| <input type="checkbox"/> Compressed air and gases | <input type="checkbox"/> Vehicle Safety |
| <input type="checkbox"/> Concrete work | <input type="checkbox"/> Traffic control |
| <input type="checkbox"/> Confined-space entry | <input type="checkbox"/> Site visitor escorting |
| <input type="checkbox"/> Cranes/rigging and hoisting | <input type="checkbox"/> Public protection |
| <input type="checkbox"/> Electrical grounding | <input type="checkbox"/> Equipment guards and grounding |
| <input type="checkbox"/> Environmental controls and
Occupational health | <input type="checkbox"/> Monitoring Equipment |
| <input type="checkbox"/> Emergency procedures | <input type="checkbox"/> Flammable material handling/storage |
| <input type="checkbox"/> Fire protection and prevention | <input type="checkbox"/> Site sanitation |
| <input type="checkbox"/> Floor and wall openings | <input type="checkbox"/> Trenching and excavating |
| <input type="checkbox"/> Fall protection | <input type="checkbox"/> Lockout/Tagout |
| <input type="checkbox"/> Housekeeping | <input type="checkbox"/> Energized/pressurized equipment |
| <input type="checkbox"/> Ladders and scaffolds | <input type="checkbox"/> Personal protective equipment |
| <input type="checkbox"/> Mechanical equipment | <input type="checkbox"/> Tools, power and hand |
| <input type="checkbox"/> Welding and cutting (hot work) | <input type="checkbox"/> Electrical power lines |
| | <input type="checkbox"/> Other _____ |

6. OSHA INSPECTIONS

a. Have you been inspected by OSHA in the last three years? Yes No

b. Were these inspections in response to complaints? Yes No

c. Have you been cited as a result of these inspections? Yes No

If yes, describe the citations:

AGENDA ITEM 5



STAFF REPORT

TYPE MEETING:	Regular Board	MEETING DATE:	June 3, 2015		
SUBMITTED BY:	Dan Martin Engineering Manager	PROJECT:	Various	DIV. NO.	ALL
APPROVED BY:	<input checked="" type="checkbox"/> Rod Posada, Chief of Engineering <input checked="" type="checkbox"/> German Alvarez, Assistant General Manager <input checked="" type="checkbox"/> Mark Watton, General Manager				
SUBJECT:	Informational Item - Third Quarter Fiscal Year 2015 Capital Improvement Program Report				

GENERAL MANAGER'S RECOMMENDATION:

No recommendation. This is an informational item only.

COMMITTEE ACTION:

Please see Attachment A.

PURPOSE:

To update the Board about the status of all CIP project expenditures and to highlight significant issues, progress, and milestones on major projects.

ANALYSIS:

To keep up with growth and to meet our ratepayers' expectations to adequately deliver safe, reliable, cost-effective, and quality water, each year the District staff prepares a Six-Year CIP Plan that identifies the District's infrastructure needs. The CIP is comprised of four categories consisting of backbone capital facilities, replacement/renewal projects, capital purchases, and developer's reimbursement projects.



ATTACHMENT A

SUBJECT/PROJECT: Various	Informational Item - Third Quarter Fiscal Year 2015 Capital Improvement Program Report
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COMMITTEE ACTION:

The Engineering, Operations, and Water Resources Committee (Committee) reviewed this item at a Committee Meeting held on May 21, 2015. The Committee supported Staff's recommendation.

NOTE:

The "Committee Action" is written in anticipation of the Committee moving the item forward for Board approval. This report will be sent to the Board as a Committee approved item, or modified to reflect any discussion or changes as directed from the Committee prior to presentation to the full Board.

**FISCAL YEAR 2015
3rd QUARTER REPORT**
(Expenditures through 03/31/2015)
(\$ In Thousands)

ATTACHMENT B

CIP No.	Description	Project Manager	FISCAL YEAR-TO-DATE, 03/31/15				LIFE-TO-DATE, 03/31/15				Comments for Q3
			FY 2015 Budget	Expenses	Balance	Expense to Budget %	Budget	Expenses	Balance	Expense to Budget %	
CAPITAL FACILITY PROJECTS											
P2083	PS - 870-2 Pump Station Replacement	Marchioro	\$ 650	\$ 350	\$ 300	54%	\$ 16,500	\$ 1,279	\$ 15,221	8%	Pace slowed slightly as 3D model was added to project scope and consultant progress slower than anticipated.
P2267	36-Inch Main Pumpouts and Air/Vacuum Ventilation Installations	Thompson	195	93	102	48%	435	328	107	75%	Awaiting invoice from HDR; expect to use majority of this funding in FY 2015.
P2434	Rancho Del Rey Groundwater Well Development	Marchioro	75	104	(29)	139%	3,705	3,710	(5)	100%	Settlement to close out design consultant's agreement slightly higher than anticipated.
P2451	Otay Mesa Desalination Conveyance and Disinfection System	Kennedy	1,000	600	400	60%	30,000	3,161	26,839	11%	The District has delayed the design of this project to keep pace with the progress in Mexico.
P2466	Regional Training Facility	Coburn-Boyd	3	-	3	0%	300	285	15	95%	May spend a small amount of this budget (\$1,000-\$2,000) as project is moving forward again.
P2486	Asset Management Plan Condition Assessment and Data Acquisition	Zhao	75	8	67	11%	1,090	826	264	76%	A new purchase of Asset Management software has been processed and will be reflected on next quarter's expense. Another project for choosing an AM consultant is on hold for a few months.
P2511	Otay Interconnect Pipeline	Marchioro	100	81	19	81%	2,005	2,061	(56)	103%	EIR certification on hold since pump station parcels owned by Caltrans may have open space encumbrances. Design on hold.
P2537	Operations Yard Property Acquisition Improvements	Kennedy	100	112	(12)	112%	350	149	201	43%	Award of this project is planned for FY 2016.
P2541	624 Pressure Zone PRSs	Marchioro	425	5	420	1%	600	211	389	35%	Construction postponed until FY 2016. Will be bid together with R2110.
P2547	District Administration Building Main Breaker Installation and Vehicle Charging Stations	Beppler	45	10	35	22%	50	10	40	20%	The main breaker project was completed June 2014 and paid with the Safety budget. The electric vehicle charging station is in early stages of design.
P2548	Sump Pump Installation at the 980-1 & 2 Reservoirs	Anderson	35	4	31	11%	35	4	31	11%	Project started and will be completed early in the Q4 FY 2015.
P2549	Fuel system upgrade	Payne	30	-	30	0%	30	-	30	0%	Fuel System upgrade moved to FY 2016.
P2551	Blossom Lane Helix WD and Otay WD Interconnection	Beppler	5	8	(3)	160%	400	8	392	2%	Helix WD led project; design costs (about \$25,000) expected to be billed by June for about \$45,000 project total this FY (combined P2551 & P2552).
P2552	South Barcelona Helix WD and Otay WD Interconnection	Beppler	5	7	(2)	140%	400	7	393	2%	
R2048	RecPL - Otay Mesa Distribution Pipelines and Conversions	Martin	1	-	1	0%	555	475	80	86%	No work anticipated in FY 2015 due to Temporary Moratorium on Recycled Water Infrastructure on Otay Mesa.
R2058	RecPL - 16-Inch, 860 Zone, Airway Road - Otay Mesa/Alta	Martin	1	-	1	0%	1,406	1,340	66	95%	No work anticipated in FY 2015 due to Temporary Moratorium on Recycled Water Infrastructure on Otay Mesa.
R2077	RecPL - 24-Inch, 860 Zone, Alta Road - Alta Gate/Airway	Beppler	25	-	25	0%	4,500	2,805	1,695	62%	Paving of surface road required to finish improvements, per the reimbursement agreement with developer.
R2087	RecPL - 24-Inch, 927 Zone, Wueste Road - Olympic/Otay WTP	Cameron	5	-	5	0%	7,000	1,032	5,968	15%	Project on hold due to Temporary Moratorium on Recycled Water Infrastructure on Otay Mesa.

FISCAL YEAR 2015
3rd QUARTER REPORT
(Expenditures through 03/31/2015)
(\$ In Thousands)

ATTACHMENT B

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			FY 2015 Budget	Expenses	Balance	Expense to Budget %	Budget	Expenses	Balance	Expense to Budget %	
R2107	RWCWRF Screening Compactor and Chlorine Injectors Enclosure	Vacklavek	85	-	85	0%	215	101	114	47%	Completion of project expected in FY 2015 Q4, with all funds utilized.
R2108	Res - 927-1 Reservoir Cover Replacement	Marchioro	50	13	37	26%	1,400	1,077	323	77%	Final warranty inspection scheduled in FY 2016.
R2110	RecPS - 944-1 Optimization and Pressure Zone modifications	Marchioro	50	3	47	6%	200	66	134	33%	Construction (PRS Vault 3 retrofit) postponed until FY 2016. Will be bid together with P2541.
R2114	Large Recycle Pump Replacement at the RWCWRF 927-1 Pump Station	Anderson	100	52	48	52%	100	52	48	52%	Both pumps have been completed and the second one will bill for a total of \$86,181.00; by the end of May this original budget is 100% completed. The funds left over will be utilized in FY 2016 for engine driven pump #5.
R2117	RWCWRF Contact Basin Expansion Project	Beppler	20	56	(36)	280%	1,500	56	1,444	4%	Study should be completed by June; consultant fee is \$77,402; in-house costs should take it to about \$90,000.
S2043	RWCWRF Sludge Handling System	Beppler	5	3	2	60%	1,500	40	1,460	3%	No additional billings expected in FY 2015.
	Total Capital Facility Projects	Total:	3,085	1,509	1,576	49%	74,276	19,083	55,193	26%	
	REPLACEMENT/RENEWAL PROJECTS										
P2366	APCD Engine Replacements and Retrofits	Rahders	5	16	(11)	320%	3,488	2,529	959	73%	Replacement emissions analyzer has been purchased for FY 2016. Staff anticipates no further activity.
P2382	Safety and Security Improvements	Ramirez	192	116	76	60%	3,222	2,135	1,087	66%	Will need to add \$58K to existing budget to cover systems upgrades identified for completion by year end for access control and intrusion detection at Admin, Ops and Warehouse buildings.
P2440	I-905 Utility Relocations	Marchioro	5	7	(2)	140%	1,600	1,590	10	99%	Staff anticipates that Caltrans will issue an additional \$33,493 credit to Otay; however, the \$33K credit on hold pending Caltrans' receipt of final accounting numbers from the City of San Diego's sewer contractor.
P2453	SR-11 Utility Relocations	Marchioro	600	901	(301)	150%	2,250	1,134	1,116	50%	Construction contract ahead of schedule. Positioned to spend \$1.2M rather than \$0.6M. Project completion of Phase 1 anticipated in FY 2015 Q4.
P2477	Res - 624-1 Reservoir Cover Replacement	Marchioro	5	4	1	80%	1,000	931	69	93%	On target for upcoming warranty inspection.
P2485	SCADA Communication System and Software Replacement	Vacklavek	655	182	473	28%	1,974	1,350	624	68%	Expected expenditures for Q4 are estimated to be about \$65,000. The installation of the wireless radio system is still delayed.
P2493	624-2 Reservoir Interior/Exterior Coating	Cameron	50	63	(13)	126%	1,950	1,530	420	78%	Construction is complete; project is in the warranty period.
P2494	Multiple Species Conservation Plan	Coburn-Boyd	90	5	85	6%	950	815	135	86%	Expect to spend \$30,000-40,000 during remainder of FY 2015.
P2495	San Miguel Habitat Management/Mitigation Area	Coburn-Boyd	180	58	122	32%	2,100	1,089	1,011	52%	Expect to spend about \$80,000 during remainder of FY 2015.
P2496	Otay Lakes Road Utility Relocations	Martin	5	1	4	20%	325	301	24	93%	Construction substantially complete in Q3 FY 2015.
P2504	Regulatory Site Access Road and Pipeline Relocation	Cameron	20	2	18	10%	900	329	571	37%	Project on hold.
P2507	East Palomar Street Utility Relocation	Cameron	175	51	124	29%	940	671	269	71%	Caltrans driving project. Utility agreements are in place for reimbursement. Contractor is 6 months behind schedule. Anticipated completion in December 2015.
P2508	Pipeline Cathodic Protection Replacement Program	Marchioro	125	95	30	76%	300	144	156	48%	On target.

**FISCAL YEAR 2015
3rd QUARTER REPORT**
(Expenditures through 03/31/2015)
(\$ In Thousands)

ATTACHMENT B

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			FY 2015 Budget	Expenses	Balance	Expense to Budget %	Budget	Expenses	Balance	Expense to Budget %	
P2515	870-1 Reservoir Paving	Martin	415	347	68	84%	550	500	50	91%	Construction contract accepted FY 2015 Q3. Project in warranty.
P2518	803-3 Reservoir Interior/Exterior Coating	Cameron	30	9	21	30%	700	634	66	91%	Construction is complete; project is in the warranty period.
P2519	832-2 Reservoir Interior/Exterior Coating	Cameron	30	12	18	40%	700	657	43	94%	Construction is complete; project is in the warranty period.
P2520	Motorola Mobile Radio Upgrade	Martinez	50	-	50	0%	135	77	58	57%	No additional work is anticipated in FY 2015, but will continue in FY 2016.
P2529	711-2 Reservoir Interior & Exterior Coating	Cameron	5	1	4	20%	760	1	759	0%	Expenditures expected in Q4 FY 2015.
P2530	711-1 Reservoir Interior & Exterior Coating	Cameron	10	1	9	10%	940	1	939	0%	Expenditures expected in Q4 FY 2015.
P2531	944-1 Reservoir Interior & Exterior Coating	Martin	190	3	187	2%	240	10	230	4%	Construction completion of reservoir anticipated in FY 2016 Q1.
P2532	944-2 Reservoir Interior & Exterior Coating	Martin	900	460	440	51%	950	467	483	49%	Construction completion of reservoir anticipated in FY 2015 Q4.
P2533	1200-1 Reservoir Interior & Exterior Coating	Cameron	-	-	-	0%	365	-	365	0%	No expenditures planned in FY 2015.
P2534	978-1 Reservoir Interior & Exterior Coating	Cameron	-	-	-	0%	270	-	270	0%	No expenditures planned in FY 2015.
P2535	458-2 Reservoir Interior & Exterior Coating & Upgrades	Martin	585	61	524	10%	640	76	564	12%	Construction completion of reservoir anticipated in FY 2015 Q4.
P2538	Administration and Operations Building Fire Sprinkler Replacement Program	Cameron	65	41	24	63%	110	64	46	58%	Expenditures are planned for FY 2015 Q4. Project is on budget.
P2539	South Bay Bus Rapid Transit (BRT) Utility Relocations	Cameron	30	34	(4)	113%	375	63	312	17%	SANDAG invoice for project funding anticipated in FY 2015 Q4.
P2542	850-3 Reservoir Interior Coating	Cameron	5	23	(18)	460%	480	23	457	5%	Accelerated schedule.
P2543	850-1 Reservoir Interior/Exterior Coating	Cameron	-	-	-	0%	460	-	460	0%	No expenditures planned in FY 2015.
P2544	850-2 Reservoir Interior/Exterior Reservoir Coating	Cameron	-	-	-	0%	940	-	940	0%	No expenditures planned in FY 2015.
P2545	980-1 Reservoir Interior Exterior Coating	Cameron	-	-	-	0%	1,250	-	1,250	0%	No expenditures planned in FY 2015.
P2546	980-2 Reservoir Interior/Exterior Coating	Cameron	-	-	-	0%	1,250	-	1,250	0%	No expenditures planned in FY 2015.
P2550	Fuel Island Upgrade	Payne	75	-	75	0%	75	-	75	0%	Fuel Island upgrade rescheduled to FY 2016.
P2553	Heritage Road Bridge Replacement and Utility Relocation	Cameron	5	-	5	0%	1,200	-	1,200	0%	City of Chula Vista driven project. Project is on budget.
R2109	Sweetwater River Wooden Trestle Improvement for the Recycled Water Forcemain	Beppler	50	47	3	94%	600	78	522	13%	Design (\$20,000 plus in-house costs of about \$15,000) should be completed by May and Bid by June 2015. \$65,000 total in FY 2015.
R2111	RWCWRF - RAS Pump Replacement	Beppler	310	80	230	26%	650	137	513	21%	May see some construction costs before end of June 2015 (maybe up to a third of the \$315,315 contract amount), but majority will likely not be invoiced until FY 2016.
R2112	450-1 Disinfection Facility Rehabilitation	Cameron	150	46	104	31%	190	46	144	24%	Construction completion of project anticipated in FY 2015 Q4.
R2113	Chlorine 1-ton Cylinder Emergency Close Valve	Vacklavek	37	35	2	95%	37	35	2	95%	This project is completed. No more billing expected.
R2116	RecPL - 14-Inch, 927 Zone, Forcemain Assessment and Repair	Marchioro	100	262	(162)	262%	1,500	262	1,238	17%	Accelerated schedule.
S2012	San Diego County Sanitation District Outfall and RSD Outfall Replacement	Kennedy	150	-	150	0%	2,760	834	1,926	30%	County of San Diego project invoice anticipated in FY 2015 Q4.
S2024	Campo Road Sewer Main Replacement	Beppler	600	255	345	43%	5,500	436	5,064	8%	Estimating between \$500,000-\$600,000 this Fiscal Year; design services will continue into FY 2016.
S2027	Rancho San Diego Pump Station Rehabilitation	Beppler	150	12	138	8%	3,500	69	3,431	2%	Design may be completed in FY 2015; County invoice received for \$185,424 in April not reflected, revised total for FY is \$200,000.

**FISCAL YEAR 2015
3rd QUARTER REPORT**
(Expenditures through 03/31/2015)
(\$ In Thousands)

ATTACHMENT B

CIP No.	Description	Project Manager	FISCAL YEAR-TO-DATE, 03/31/15				LIFE-TO-DATE, 03/31/15				Comments for Q3
			FY 2015 Budget	Expenses	Balance	Expense to Budget %	Budget	Expenses	Balance	Expense to Budget %	
S2033	Sewer System Rehabilitation	Beppler	675	330	345	49%	6,000	937	5,063	16%	Construction (\$530,000) plus admin and in-house; next design phases (another \$35,000) expected to be completed this Fiscal Year for total of \$720,000.
Total Replacement/Renewal Projects			6,724	3,560	3,164	53%	54,126	19,955	34,171	37%	
CAPITAL PURCHASE PROJECTS											
P2282	Vehicle Capital Purchases	Rahders	105	176	(71)	168%	5,021	3,076	1,945	61%	One replacement vehicle will be purchased for \$29,300. Due to reduction in staffing, no other vehicles will be purchased this fiscal year, for a savings of \$38,700.
P2285	Office Equipment and Furniture Capital Purchases	Payne	15	-	15	0%	589	524	65	89%	Equipment purchase scheduled for May 2015.
P2286	Field Equipment Capital Purchases	Rahders	65	13	52	20%	1,758	1,303	455	74%	Truck mounted crane purchase has been deferred to FY 2016. No further activity this fiscal year.
P2469	Information Technology Network and Hardware	Kerr	175	212	(37)	121%	2,509	1,887	622	75%	Planned to procure Cisco UCS in FY16 Q1; estimated amount to purchase \$150,000-175,000. After which CIP will be completed/closed.
P2470	Financial System Enhancements	Kerr	100	(4)	104	-4%	2,265	1,667	598	74%	Commencing FY16 Q1; will purchase Tyler Cashiering (\$40K) and will perform evaluation and requirements for Employee Self-Service (ESS) (\$15K).
P2540	Work Order Management System Replacement	Kerr	300	149	151	50%	700	267	433	38%	Working towards go-live for Cityworks Asset Management System on July 1, 2015. In FY 2016 Q1, will initiate requirements and stakeholder meetings to implement PLL.
Total Capital Purchase Projects			760	546	214	72%	12,842	8,724	4,118	68%	
DEVELOPER REIMBURSEMENT PROJECTS											
R2028	RecPL - 8-Inch, 680 Zone, Heritage Road - Santa Victoria/Otay Valley	Martin	1	-	1	0%	600	-	600	0%	No expenditures planned for Q3.
R2042	RecPL - 8-Inch, 927 Zone, Rock Mountain Road - SR-125/EastLake	Martin	1	-	1	0%	140	-	140	0%	No expenditures planned for Q3.
R2047	RecPL - 12-Inch, 680 Zone, La Media Road - Birch/Rock Mountain	Martin	1	-	1	0%	450	-	450	0%	No expenditures planned for Q3.
R2082	RecPL - 24-Inch, 680 Zone, Olympic Parkway - Village 2/Heritage	Martin	1	-	1	0%	1,747	-	1,747	0%	No expenditures planned for Q3.
R2083	RecPL - 20-Inch, 680 Zone, Heritage Road - Village 2/Olympic	Martin	1	-	1	0%	400	-	400	0%	No expenditures planned for Q3.
R2084	RecPL - 20-Inch, 680 Zone, Village 2 - Heritage/La Media	Martin	1	-	1	0%	970	1	969	0%	No expenditures planned for Q3.
R2085	RecPL - 20-Inch, 680 Zone, La Media - State/Olympic	Martin	1	-	1	0%	600	-	600	0%	No expenditures planned for Q3.
Total Developer Reimbursement Projects			7	-	7	0%	4,907	1	4,906	0%	
GRAND TOTAL			\$ 10,576	\$ 5,615	\$ 4,961	53%	\$ 146,151	\$ 47,763	\$ 98,388	33%	

Otay Water District Capital Improvement Program

Fiscal Year 2015
Third Quarter
(through March 31, 2015)



944-2 (3.0 MG) Re-Installation of Door Sheet

Background

The approved CIP Budget for Fiscal Year 2015 consists of 73 projects that total \$10.6 million. These projects are broken down into four categories.

- | | |
|----------------------------|----------------|
| 1. Capital Facilities | \$ 3.1 million |
| 2. Replacement/Renewal | \$ 6.7 million |
| 3. Capital Purchases | \$ 0.7 million |
| 4. Developer Reimbursement | \$ 0.1 million |

Overall expenditures through the Third Quarter of Fiscal Year 2015 totaled \$5.6 million, which is approximately 53% of the Fiscal Year budget.

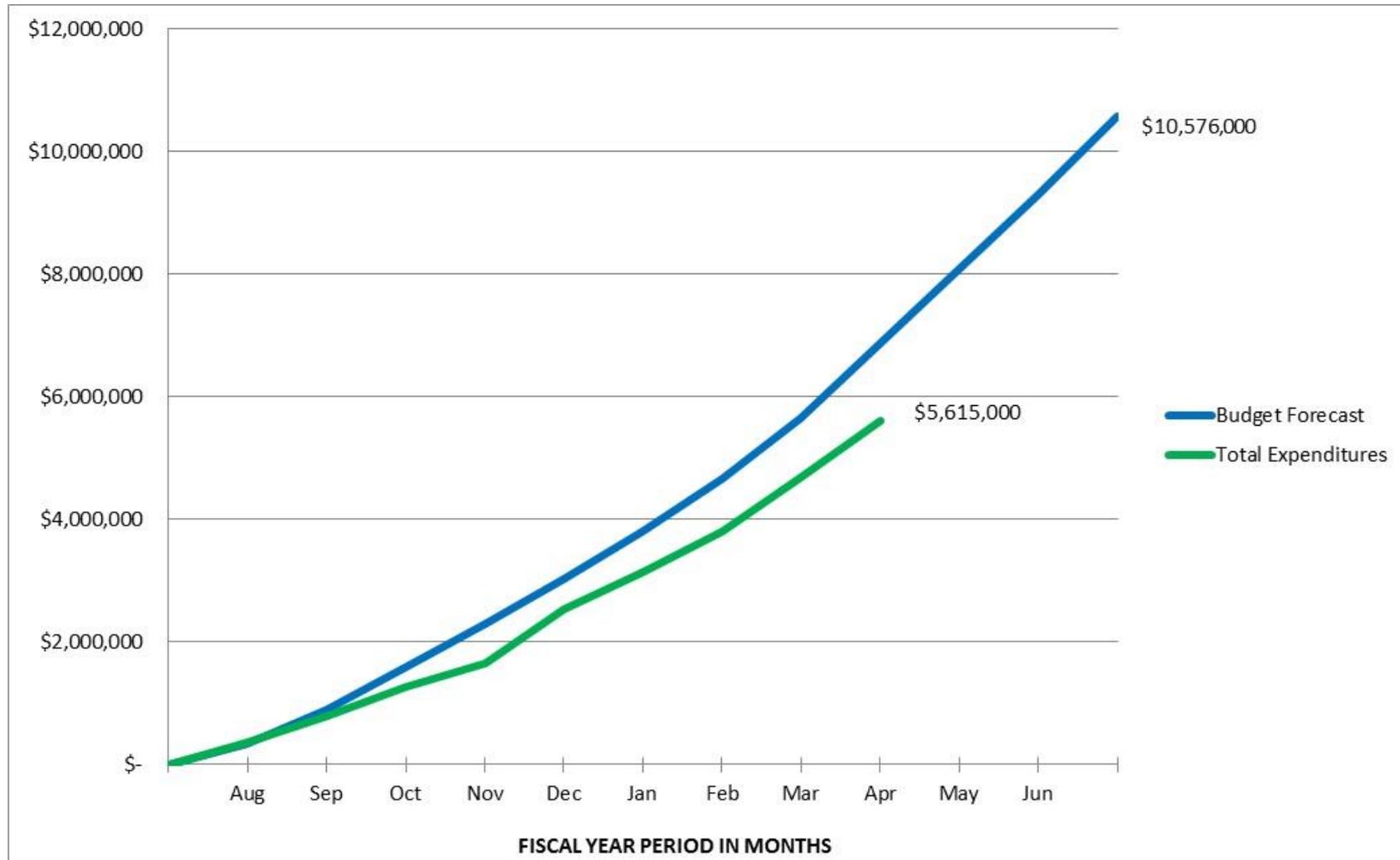
Fiscal Year 2015

Third Quarter Update

(\$1,000)

CIP CAT	Description	FY 2015 Budget	FY 2015 Expenditures	% FY 2015 Budget Spent	Total Life-to-Date Budget	Total Life-to-Date Expenditures	% Life-to-Date Budget Spent
1	Capital Facilities	\$3,085	\$1,509	49%	\$74,276	\$19,083	26%
2	Replacement/Renewal	\$6,724	\$3,560	53%	\$54,126	\$19,955	37%
3	Capital Purchases	\$760	\$546	72%	\$12,842	\$8,724	68%
4	Developer Reimbursement	\$7	\$0	0%	\$4,907	\$1	0%
	Total:	\$10,576	\$5,615	53%	\$146,151	\$47,763	33%

Fiscal Year 2015 Third Quarter CIP Budget Forecast vs. Expenditures



CIP Projects in Construction

- Calavo Basin Sewer Rehabilitation – Phase I (S2033)
- Project part of the District's comprehensive sewer management program.
- Project will replace deteriorated or sagging sections of sewer pipe.
- Overall Project Budget \$6.00M
- Start: January 2015
- Estimated Completion: June 2015



Replacement of 8-Inch Sewer on Fury Drive



Preparing Base Course Pavement Installation on Fury Drive

CIP Projects in Construction

- 944-1, 944-2, & 458-2 Reservoir Interior/Exterior Coating & Upgrades (P2531, P2532, P2535)
- Remove and Replace Deteriorating Reservoir Coatings.
- Structural Modifications to Increase Service Life.
- \$1.83M Budget
- Start: November 2014
- Estimated Completion: July 2015



458-2 (1.75 MG) New Roof Hatch



458-2 (1.75 MG) Installation of New Access Manway

CIP Projects in Construction

- SR-II Utility Relocations Sequence I (P2453)
- Relocate Existing Water Facilities to support SR-II Construction.
- \$2.25M Budget
- Start: February 2014
- Estimated Completion: June 2015



SR-II Heat Shrink Cathodic Protection Sleeve Installation on 18" Steel Pipe

Construction Contract Status

FY 2015 CIP CONSTRUCTION PROJECTS

CIP NO.	PROJECT TITLE	CONTRACTOR	BASE BID AMOUNT	CONTRACT AMOUNT W/ ALLOWANCES	NET CHANGE ORDERS LTD*		CURRENT CONTRACT AMOUNT	TOTAL EARNED TO DATE	% CHANGE ORDERS W/ ALLOWANCE CREDIT**	% COMPLETE	EST. COMP. DATE
					PROJECT TOTAL	%					
P2453-002103	SR-11 Potable Water Utility Relocations - Sequence 1	Coffman Specialties, Inc.	\$947,380	\$992,380	\$16,047	1.7%	\$963,427	\$431,335	-2.9%	44.8%	June 2015
P2493	624-2 Reservoir Coating	Advanced Industrial Services	\$1,169,000	\$1,199,000	\$0	0.0%	\$1,180,770	\$1,180,770	-1.5%	100.0%	Completed August 2014
P2515	870-1 Reservoir Access Road Paving	RAP Engineering Inc.	\$205,566	\$207,362	\$9,611	4.7%	\$215,177	\$196,557	3.8%	91.3%	Completed January 2015
P2531 P2532 P2535	944-1, 944-2, & 458-2 Reservoirs Interior/Exterior Coating & Upgrades	Olympus and Associates Inc.	\$1,146,008	\$1,206,008	\$0	0.0%	\$1,179,944	\$42,550	-2.2%	3.6%	July 2015
P2453-003103	SR-11/125/905 Connector Ramps Blow Off Relocation	TC Construction Company, Inc.	\$67,750	\$73,750	\$0	0.0%	\$67,750	\$0	-8.1%	0.0%	May 2015
R2111	RWCWRF RAS Pumps Replacement	Cora Constructors Inc.	\$295,315	\$315,315	\$0	0.0%	\$295,315	\$0	-6.3%	0.0%	November 2015
R2112	450-1 Disinfection Facility Rehabilitation	Fordyce Construction, Inc.	\$108,350	\$128,350	\$0	0.0%	\$108,350	\$0	-15.6%	0.0%	June 2015
S2033	Calavo Basin Sewer Rehabilitation Phase 1	Arrieta Construction Inc.	\$521,890	\$529,490	\$0	0.0%	\$521,890	\$0	-1.4%	0.0%	June 2015
		TOTALS:	\$4,461,259	\$4,651,655	\$25,658	0.6%	\$4,532,623	\$1,851,211	-2.6%		

*NET CHANGE ORDERS DO NOT INCLUDE ALLOWANCE ITEM CREDITS. IT'S A TRUE CHANGE ORDER PERCENTAGE FOR THE PROJECT

**THIS CHANGE ORDER RATE INCLUDES THE CREDIT FOR UNUSED ALLOWANCES

Consultant Contract Status

Consultant	CIP No.	Project Title	Original Contract Amount	Total Change Orders	Revised Contract Amount	Approved Payment To Date	% Change Orders	% Project Complete	Date of Signed Contract	End Date of Contract
PLANNING										
ATKINS	Varies	2015 WATER FACILITIES MASTER PLAN UPDATE	\$ 434,731.00	\$ -	\$ 434,731.00	\$ 142,697.96	0.0%	32.8%	1/28/2014	12/31/2016
CAROLLO ENGINEERS, INC.	VARIES	2015 INTEGRATED WATER RESOURCES PLAN UPDATE	\$ 99,993.00	\$ -	\$ 99,993.00	\$ -	0.0%	0.0%	11/17/2014	6/30/2016
WATER SYSTEMS CONSULTING INC	VARIES	AS-NEEDED HYDRAULIC MODELING FY15/16	\$ 175,000.00	\$ -	\$ 175,000.00	\$ 32,852.50	0.0%	18.8%	7/15/2014	6/30/2016
DESIGN										
AECOM	P2451	OTAY MESA CONVEYANCE AND DISINFECTION SYSTEM (DESIGN ENGINEER)	\$ 3,910,297.00	\$(228,365.00)	\$ 3,681,932.00	\$1,139,038.76	-5.8%	30.9%	1/6/2011	6/30/2018
AEGIS ENGINEERING MGMT INC	Varies	AS-NEEDED DESIGN SERVICES FY13-14	\$ 300,000.00	\$ -	\$ 300,000.00	\$ 174,877.78	0.0%	58.3%	11/1/2012	6/30/2015
ARCADIS U.S., INC.	P2434, P2511	VALUE ENGINEERING AND CONSTRUCTIBILITY REVIEW	\$ 153,628.00	\$ -	\$ 153,628.00	\$ 70,208.73	0.0%	45.7%	1/24/2012	6/30/2016
ARCADIS U.S. INC	VARIES	AS-NEEDED DESIGN FY 15-16	\$ 300,000.00	\$ -	\$ 300,000.00	\$ 80,791.51	0.0%	26.9%	9/11/2014	6/30/2016
ATKINS	Varies	AS-NEEDED ENGINEERING DESIGN SERVICES FY12-13	\$ 175,000.00	\$ -	\$ 175,000.00	\$ 157,396.56	0.0%	89.9%	10/26/2011	6/30/2015
BROWNSTEIN HYATT FARBER SCHRECK	P2451	OTAY MESA CONVEYANCE AND DISINFECTION SYSTEM (BINATIONAL WATER AND RELATED ISSUES)	\$ 12,155.04	\$ -	\$ 12,155.04	\$ 8,581.43	0.0%	70.6%	7/9/2013	6/30/2015
BSE ENGINEERING INC	Varies	AS-NEEDED ELECTRICAL SERVICES	\$ 100,000.00	\$ -	\$ 100,000.00	\$ 28,665.62	0.0%	28.7%	7/1/2012	6/30/2015
CAROLLO ENGINEERS INC	P2083	DESIGN/CONSTRUCTION FOR 870-2 PS	\$ 624,910.00	\$ 29,000.00	\$ 653,910.00	\$ 346,101.40	4.6%	52.9%	10/11/2013	12/31/2017
DARNELL & ASSOCIATES	Varies	AS-NEEDED TRAFFIC ENGINEERING SERVICES	\$ 125,000.00	\$ -	\$ 125,000.00	\$ 27,920.00	0.0%	22.3%	7/1/2012	6/30/2015
EPIC FIRE PROTECTION INC	P2538	MIC TREATMENT SYSTEM	\$ 20,300.00	\$ -	\$ 20,300.00	\$ 20,300.00	0.0%	100.0%	7/31/2014	COMPLETE



Consultant Contract Status

Consultant	CIP No.	Project Title	Original Contract Amount	Total Change Orders	Revised Contract Amount	Approved Payment To Date	% Change Orders	% Project Complete	Date of Signed Contract	End Date of Contract
DESIGN										
HDR ENGINEERING INC	Varies	CORROSION SERVICES FY14-FY16	\$ 684,750.00	\$ -	\$ 684,750.00	\$ 308,905.02	0.0%	45.1%	11/22/2013	12/31/2015
HECTOR MARES-COSSIO	P2451	OTAY MESA CONVEYANCE AND DISINFECTION SYSTEM (BINATIONAL WATER AND RELATED ISSUES)	\$ 120,000.00	\$ -	\$ 63,000.00	\$ 63,000.00	0.0%	100.0%	4/1/2013	9/15/2014 COMPLETE
LEE & RO INC	P2511	OTAY INTERCONNECT PIPELINE	\$ 2,769,119.00	\$ -	\$ 2,769,119.00	\$1,108,680.98	0.0%	40.0%	11/4/2010	12/31/2015
LEONARD H VILLARREAL	P2451	OTAY MESA CONVEYANCE AND DISINFECTION SYSTEM (BINATIONAL WATER AND RELATED ISSUES)	\$ 875.00	\$ -	\$ 875.00	\$ 875.00	0.0%	100.0%	3/21/2014	3/21/2015 COMPLETE
MICHAEL D.KEAGY REAL ESTATE	Varies	AS-NEEDED APPRAISAL SERVICES FY13-14	\$ 45,000.00	\$ -	\$ 45,000.00	\$ 33,000.00	0.0%	73.3%	9/17/2012	6/30/2015
PIPELINE INSPECTION & CONDITION ANALYSIS CORPORATION	R2116	INSPECTION AND CONDITION ASSESSMENT OF THE RALPH W. CHAPMAN WATER RECYCLING FACILITY 14-INCH FORCE MAIN	\$ 302,092.00	\$ -	\$ 302,092.00	\$ 87,500.00	0.0%	29.0%	12/18/2014	4/30/2016
PSOMAS	VARIABLES	AS-NEEDED DESIGN FY 15-16	\$ 300,000.00	\$ -	\$ 300,000.00	\$ 4,876.13	0.0%	1.6%	9/11/2014	6/30/2016
RFYEAGER	Varies	AS-NEEDED CORROSION ENGINEERING AND RESERVOIR COATING INSPECTION	\$ 175,000.00	\$ -	\$ 175,000.00	\$ -	0.0%	0.0%	2/9/2015	12/31/2016
RICK ENGINEERING COMPANY	S2024	CAMPO ROAD SEWER MAIN REPLACEMENT PROJECT	\$ 805,705.00	\$ -	\$ 805,705.00	\$ 211,855.73	0.0%	26.3%	5/27/2014	12/31/2017
SILVA SILVA CONSULTING	P2451	OTAY MESA CONVEYANCE AND DISINFECTION SYSTEM (BINATIONAL WATER AND RELATED ISSUES)	\$ 115,000.00	\$ -	\$ 115,000.00	\$ 40,289.05	0.0%	35.0%	5/1/2014	6/30/2016
SIMON WONG ENGINEERING	R2109	WOODEN TRESTLE IMPROVEMENT	\$ 26,790.00	\$ -	\$ 26,790.00	\$ 26,790.00	0.0%	100.0%	5/1/2014	12/31/2015 COMPLETE
SOUTHERN CALIFORNIA SOIL	Varies	GEOTECHNICAL SERVICES FY13-15	\$ 175,000.00	\$ -	\$ 175,000.00	\$ 57,010.81	0.0%	32.6%	12/10/2012	6/30/2015
STUTZ ARTIANO SHINOFF & HOLTZ	P2451	OTAY MESA CONVEYANCE AND DISINFECTION SYSTEM (BINATIONAL WATER AND RELATED ISSUES)	\$ 3,060.00	\$ -	\$ 3,060.00	\$ 3,060.00	0.0%	100.0%	-	3/31/2015 COMPLETE
TETRA TECH, INC	P2434	RANCHO DEL REY WELL - PHASE 2	\$ 724,493.50	\$ 23,749.00	\$ 748,242.50	\$ 491,684.17	3.3%	65.7%	4/28/2011	12/31/2014 COMPLETE



Consultant Contract Status

Consultant	CIP No.	Project Title	Original Contract Amount	Total Change Orders	Revised Contract Amount	Approved Payment To Date	% Change Orders	% Project Complete	Date of Signed Contract	End Date of Contract
DESIGN										
(W) RIGHT ON COMMUNICATIONS, INC.	P2451	OTAY MESA CONVEYANCE AND DISINFECTION SYSTEM (BINATIONAL WATER AND RELATED ISSUES)	\$ 49,000.00	\$ -	\$ 49,000.00	\$ 32,016.35	0.0%	65.3%	5/1/2014	5/1/2015
CONSTRUCTION SERVICES										
AIRX UTILITY SURVEYORS	Varies	AS-NEEDED SURVEYING SERVICES FY 14-15	\$ 175,000.00	\$ -	\$ 175,000.00	\$ 119,423.00	0.0%	68.2%	9/18/2013	6/30/2015
ALYSON CONSULTING	Varies	CONSTRUCTION MGMT/INSPECTION FY 13-15	\$ 350,000.00	\$ 35,000.00	\$ 385,000.00	\$ 271,355.00	10.0%	70.5%	10/24/2012	12/31/2015
HARPER & ASSOCIATES	P2493	COATING INSPECTION SERVICES ON THE 624-2 & 458-2 RESERVOIR	\$ 75,160.00	\$ -	\$ 75,160.00	\$ 75,155.00	0.0%	100.0%	8/27/2013	7/3/2014 COMPLETE
RBF CONSULTING, INC	P2083	870-2 PS CONSTRUCTION MANAGEMENT AND INSPECTION SERVICES	\$ 853,457.00	\$ -	\$ 853,457.00	\$ 15,742.00	0.0%	1.8%	7/30/2014	12/31/2017
ENVIRONMENTAL										
HELIX ENVIRONMENTAL	VARIABLES	MAINTENANCE AND MONITORING OF THE SAN MIGUEL HABITAT MANAGEMENT AREA AND CIP ASSOCIATED MITIGATION PROJECTS	\$ 476,173.00	\$ -	\$ 476,173.00	\$ 37,352.05	0.0%	7.8%	12/19/2014	12/31/2017
ICF INTERNATIONAL JONES & STOKES INC	VARIABLES	AS-NEEDED ENVIRONMENTAL SERVICES FY 15/16/17	\$ 375,000.00	\$ -	\$ 375,000.00	\$ 37,131.77	0.0%	9.9%	7/18/2014	6/30/2017
MERKEL & ASSOCIATES	Varies	SAN MIGUEL HABITAT MANAGEMENT AREA AND CIP-ASSOCIATED MITIGATION PROJECTS	\$ 359,079.00	\$ 26,750.00	\$ 385,829.00	\$ 385,829.00	7.4%	100.0%	12/20/2011	12/31/2014 COMPLETE
RECON	P2494	PREPARATION OF THE SUBAREA PLAN	\$ 270,853.00	\$ -	\$ 270,853.00	\$ 193,262.61	0.0%	71.4%	3/28/2008	6/30/2015
WATER RESOURCES										
MICHAEL R. WELCH	Varies	ENGINEERING PLANNING SVCS.	\$ 100,000.00	\$ -	\$ 100,000.00	\$ 8,250.00	0.0%	8.3%	4/9/2014	6/30/2019
PUBLIC SERVICES										
AEGIS ENGINEERING MANAGEMENT	Varies	AS-NEEDED DEVELOPER PROJECTS FY 14-15	\$ 350,000.00	\$ 24,000.00	\$ 374,000.00	\$ 309,990.68	6.9%	82.9%	8/26/2013	6/30/2015
AEGIS	Varies	AS-NEEDED DEVELOPER PROJECTS FY 15-16	\$ 400,000.00	\$ -	\$ 400,000.00	\$ 2,875.00	0.0%	0.7%	2/12/2015	6/30/2017
TOTALS:			\$16,511,620.54	\$ (89,866.00)	\$16,364,754.54	\$6,155,341.60	-0.5%			



QUESTIONS?